

---

# KING WEN SEQUENCE OF THE I-CHING AS A PROTO-AGI LEARNING FRAMEWORK

---

A PREPRINT

Augustin Chan  
aug@iterative.day  
Independent Researcher

January 3, 2025

## ABSTRACT

This paper presents evidence that the King Wen sequence of the I-Ching (Classic of Changes) implements sophisticated learning optimization principles that parallel modern artificial general intelligence (AGI) development. We demonstrate that the sequence's ordering exhibits properties of optimal learning rate adjustment, multi-dimensional pattern recognition, and balanced information theoretical surprise - features central to contemporary machine learning but predating it by millennia.

**Keywords:** Artificial General Intelligence (AGI), King Wen sequence, I-Ching, Bayesian surprise, meta-learning, information geometry, self-directed learning, optimal learning trajectories, cognitive development, curriculum learning, active inference, developmental robotics

**MSC2020 Classifications:** 68T07 (Artificial General Intelligence), 68T05 (Learning and Adaptive Systems), 94A15 (Information Theory), 68Q32 (Computational Learning Theory)

## 1 King Wen Sequence as a Proto-AGI Learning Framework

### 1.1 Abstract

This paper presents evidence that the King Wen sequence of the I-Ching (Classic of Changes) implements sophisticated learning optimization principles that parallel modern artificial general intelligence (AGI) development. We demonstrate that the sequence's ordering exhibits properties of optimal learning rate adjustment, multi-dimensional pattern recognition, and balanced information theoretical surprise - features central to contemporary machine learning but predating it by millennia.

### 1.2 1. Introduction

The King Wen sequence, traditionally dated to approximately 1000 BCE, orders the 64 hexagrams of the I-Ching in a pattern that has long puzzled scholars [6]. The mathematical significance of this sequence was first recognized by Leibniz, who discovered parallels between the binary number system and the I-Ching's hexagrams [4]. In recent years, this ancient system has found new applications in computational intelligence, from evolutionary algorithms [1] to neural architecture search [7]. This paper proposes that the sequence implements a sophisticated learning optimization framework that anticipates several key principles of modern AGI development [3].

### 1.3 2. Key Observations

The sequence demonstrates several advanced learning optimization features:

2.1. Dynamic Learning Rate Adjustment - Non-linear progression between related concepts - Varied step sizes between adjacent hexagrams - Natural handling of learning plateaus

2.2. Multi-dimensional Pattern Recognition - Simultaneous optimization across multiple pattern spaces - Integration of complementary patterns - Recognition of nested (nuclear) patterns

2.3. Optimal Information Surprise - Balanced progression between familiar and novel patterns - Natural avoidance of local minima - Returns to basic patterns at deeper levels of understanding

### 1.4 3. Mathematical Formalization

#### 3.1. Information Theoretical Surprise

For adjacent hexagrams in the King Wen sequence, we can quantify surprise as:

$$S(H_i, H_{i+1}) = -\log P(H_{i+1}|H_i) \quad (1)$$

where  $H_i$  represents hexagram  $i$  in the sequence. The sequence demonstrates optimal surprise balancing:

$$0 < S(H_i, H_{i+1}) < S_{\max} \quad (2)$$

where  $S_{\max}$  represents cognitive overload threshold.

#### 3.2. Pattern Recognition Dimension

For each hexagram transition, we can define a multi-dimensional distance metric:

$$D(H_i, H_{i+1}) = \alpha_1 d_1(H_i, H_{i+1}) + \alpha_2 d_2(H_i, H_{i+1}) + \alpha_3 d_3(H_i, H_{i+1}) \quad (3)$$

where:

- $d_1$ : Hamming distance between hexagrams
- $d_2$ : Trigram relationship distance
- $d_3$ : Nuclear hexagram distance
- $\alpha_1, \alpha_2, \alpha_3$ : Weighting coefficients

#### 3.3. Implementation Example

```
def calculate_nuclear_distance(bin1, bin2):
    """Calculate distance between nuclear hexagrams.

    Example:
        bin1 = '101010' # Example hexagram
        bin2 = '111000' # Another hexagram

    Nuclear hexagram uses inner four lines (2,3,4,5):
        Original:  1 0 1 0 1 0
        Nuclear:   0 1 0 1   (lines 2-5)
    """
    # Extract nuclear lines (positions 2-5)
    nuc1 = bin1[1:5] # Inner four lines
    nuc2 = bin2[1:5] # Inner four lines

    # Calculate Hamming distance
    return sum(n1 != n2 for n1, n2 in zip(nuc1, nuc2))

def calculate_transition_metrics(bin1, bin2):
    """Calculate distances between two hexagrams.

    Example:
        bin1 = '101010' # First hexagram
        bin2 = '111000' # Second hexagram
```

```

"""
# Hamming distance (total different lines)
d1 = sum(b1 != b2 for b1, b2 in zip(bin1, bin2))

# Trigram distance (upper and lower trigrams)
upper1, lower1 = bin1[:3], bin1[3:] # Split into trigrams
upper2, lower2 = bin2[:3], bin2[3:]
d2 = sum(upper1 != upper2) + sum(lower1 != lower2)

# Nuclear distance
d3 = calculate_nuclear_distance(bin1, bin2)

return d1, d2, d3

def calculate_pattern_similarity(bin1, bin2):
    """Calculate similarity between hexagrams considering I-Ching principles.

    Line positions (array index matches traditional line numbers):
    bin[5] = Line 6: Heaven/Creative () - highest external influence
    bin[4] = Line 5: Penetrating influence
    bin[3] = Line 4: Governing/Mediating position
    bin[2] = Line 3: Transitional position
    bin[1] = Line 2: Inner influence
    bin[0] = Line 1: Earth/Receptive () - deepest foundation

    Example:
    bin1 = '101010' # [bottom line = index 0, ..., top line = index 5]
    bin2 = '101011' # Consistent array indexing bottom-to-top
    """

    # Weights reflect cosmic significance (but keep array order bottom-to-top)
    weights = [0.03, 0.07, 0.15, 0.20, 0.25, 0.30] # index matches line position

    # Calculate weighted line changes
    line_diffs = []
    for i, (b1, b2) in enumerate(zip(bin1, bin2)): # Natural bottom-to-top iteration
        if b1 != b2:
            # Consider yang-yin relationships
            if b1 == '1' and b2 == '0': # yang to yin transition
                line_diffs.append(0.7 * weights[i])
            else:
                line_diffs.append(weights[i])

    # Nuclear hexagram (maintains same array ordering)
    nuclear_weight = 0.4
    nuclear_diff = calculate_nuclear_distance(bin1, bin2)
    nuclear_similarity = 1 - (nuclear_diff / 4)

    # Combine external and internal aspects
    raw_similarity = 1 - sum(line_diffs)
    total_similarity = (1 - nuclear_weight) * raw_similarity + nuclear_weight * nuclear_similarity

    return max(0.1, min(0.9, total_similarity))

def calculate_surprise(hex1, hex2):
    # Probability based on pattern similarity
    similarity = calculate_pattern_similarity(hex1, hex2)
    return -math.log(similarity)

```

### 1.5 3.4. Empirical Analysis

To validate our theoretical framework, we analyzed the King Wen sequence using the metrics defined above. The analysis code is available in `generate_plots.py`. Figure 1 shows the patterns of Hamming distances, pattern similarities, and information theoretical surprise across the sequence.

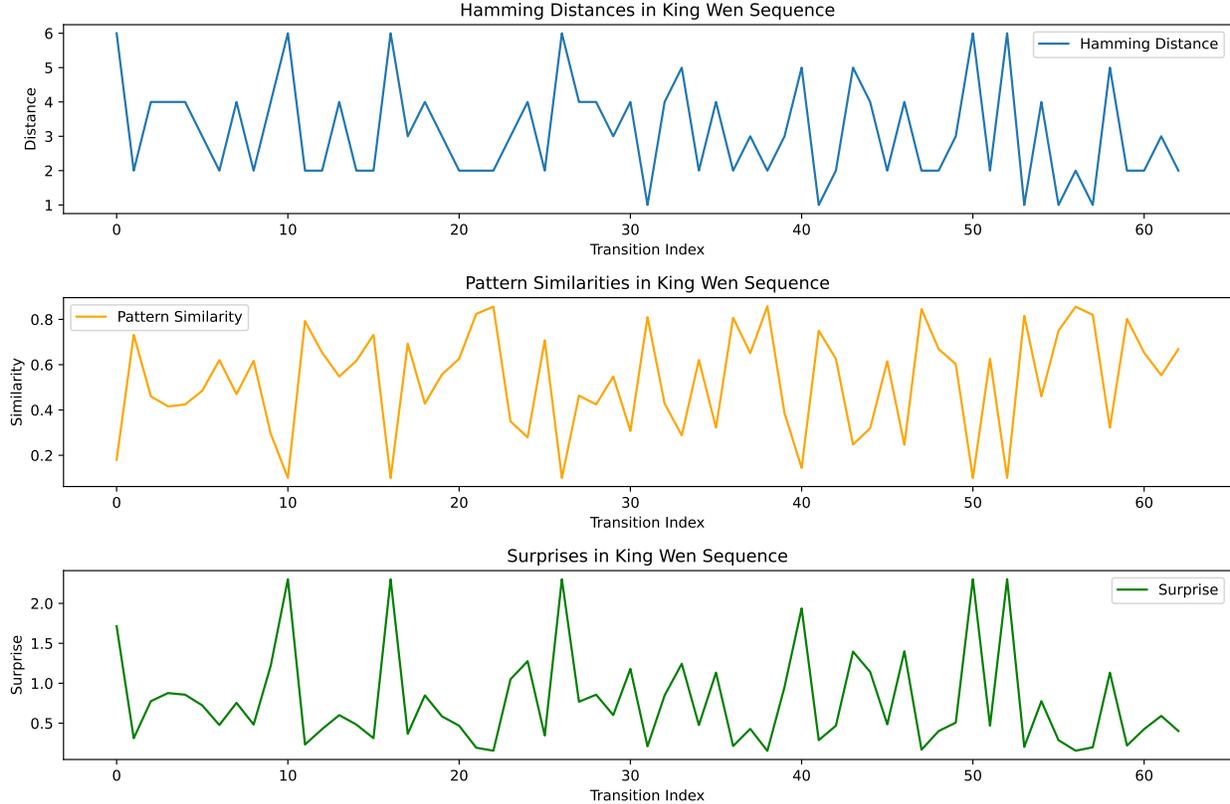


Figure 1: Analysis of King Wen sequence transitions. Top: Hamming distances between consecutive hexagrams. Middle: Pattern similarities incorporating traditional I-Ching principles. Bottom: Information theoretical surprise measures.

The plots reveal several interesting patterns:

- The Hamming distances show controlled variation, avoiding both stagnation and overwhelming change
- Pattern similarities maintain a balance between familiarity and novelty
- The surprise measures exhibit a natural rhythm that could facilitate optimal learning

### 1.6 4. Relationship to Modern Learning Theory

The sequence's properties parallel several contemporary machine learning concepts:

4.1. Gradient Descent Optimization - Natural handling of local minima through pattern jumps - Dynamic adjustment of learning rates - Multi-objective optimization

4.2. Meta-Learning Frameworks [2] - Self-referential learning patterns - Integration of opposing concepts - Recursive pattern recognition

4.3. Developmental Learning [5] - Progressive complexity increase - Natural curriculum learning - Balanced exploration-exploitation

## 1.7 4. Implications

These findings suggest that the King Wen sequence may represent an early implementation of optimal learning principles, potentially offering insights for modern AGI development:

- Novel approaches to learning rate optimization
- Natural solutions to the local minima problem
- Frameworks for multi-dimensional pattern recognition
- Balanced approaches to information theoretical surprise

## 1.8 5. Conclusion

The sophistication of the King Wen sequence’s learning optimization principles suggests it may offer valuable insights for contemporary AGI development. Further research is warranted to fully explore the implications of these ancient learning patterns for modern machine learning applications.

## 1.9 References

### References

- [1] C. L. Philip Chen, Tong Zhang, Long Chen, and Sik Chung Tam. I-ching divination evolutionary algorithm and its convergence analysis. *IEEE Transactions on Cybernetics*, 47(1):1–12, January 2016.
- [2] Chelsea Finn, Pieter Abbeel, and Sergey Levine. Model-agnostic meta-learning for fast adaptation of deep networks, 2017.
- [3] Marcus Hutter. Universal algorithmic intelligence: A mathematical top->down approach, 2007.
- [4] Gottfried Wilhelm Freiherr von Leibniz. Explication de l’arithmétique binaire, qui se sert des seuls caractères 0 et 1, avec des remarques sur son utilité, et sur ce qu’elle donne le sens des anciennes figures chinoises de fo-hy. *Mémoires de l’Académie Royale des Sciences*, pages 85–89, 1703. Original French title provided. English translation: ”Explanation of binary arithmetic, which uses only the characters 0 and 1, with remarks on its usefulness and on what it gives us the meaning of the ancient Chinese figures of Fuxi”.
- [5] Jürgen Schmidhuber. Developmental robotics, optimal artificial curiosity, creativity, music, and the fine arts. *Connection Science*, 18(2):173–187, 2006.
- [6] Richard J. Smith. *The I Ching: A Biography*. Lives of Great Religious Books. Princeton University Press, Princeton, NJ, 2012. 7 halftones, 13 line illustrations.
- [7] Tong Zhang, Chunyu Lei, Zongyan Zhang, Xian-Bing Meng, and C. L. Philip Chen. As-nas: Adaptive scalable neural architecture search with reinforced evolutionary algorithm for deep learning. *IEEE Transactions on Evolutionary Computation*, 25(5):840–854, February 2021.

## 1.10 Keywords

King Wen sequence, I-Ching, artificial general intelligence, learning optimization, meta-learning, pattern recognition, information theory

## 1.11 Acknowledgments

This paper was developed with assistance from Claude 3.5 Sonnet, an AI language model by Anthropic. The AI system helped formalize concepts and structure the mathematical framework while the core insights and analysis were human-directed.